

where  $X_{12}$  is the exchange interaction parameter for the enthalpy, exclusively, and  $Q_{12}$  is the corresponding parameter for the exchange entropy.  $A$  is defined as

$$A = (1 - T_1^*/T_2^*)(P_2^*/P_1^*) - (X_{12}/P_1^*)(s_2/s_1) \quad (13)$$

The reduced residual partial molar enthalpy  $\chi_{H,1}$  is

$$\chi_{H,1} = (c_1/\bar{V}_1\bar{T}_1)(1 + \alpha_1 T)(X_{12}/P_1^*[s_1/s_2]^2 - \frac{2}{3}[A\alpha_1 T]^2) \quad (14)$$

In these expressions  $X_{12}$  should be determined from the heat of mixing, but in this work  $X_{12}$  was determined from the relation of  $\chi_{H,1} = -T(\partial\chi_1/\partial T)$ . Since eq 4-7 are valid near the  $\theta$  temperature,  $\chi_{H,1}$  was calculated from  $\chi_1$  determined from the intrinsic viscosity at 30 °C and atmospheric pressure.  $X_{12}$  is found to be 95.7 kg/cm<sup>2</sup>.  $Q_{12}$  was determined by use of this  $X_{12}$  and the experimental  $\chi_1$  at 30 °C and atmospheric pressure to be -0.688 kg/cm<sup>2</sup> deg. These values are comparable with the values which Flory and Shih obtained, 81.6 kg/cm<sup>2</sup> and -0.398 kg/cm<sup>2</sup> deg for PDMS-cyclohexane and 112 kg/cm<sup>2</sup> and -0.653 kg/cm<sup>2</sup> deg for PDMS-chlorobenzene. A large negative value of  $Q_{12}$  is characteristic of PDMS solutions. Calculated pressure and temperature dependence of  $\chi_1$  at the  $\theta$  temperature and atmospheric pressure using the above values of  $X_{12}$  and  $Q_{12}$  and eq 12 are  $1.23 \times 10^{-5}$  cm<sup>2</sup>/kg and  $-5.69 \times 10^{-4}$  deg<sup>-1</sup>, respectively. The contribution of the equation-of-state term containing  $A^2$  in eq 12 to these values is very small. The predicted value of  $(\partial\chi_1/\partial T)_P$  is in agreement with the value determined from the intrinsic viscosity, but predicted  $(\partial\chi_1/\partial P)_T$  is positive, as in the case of the Patterson theory. These give positive  $d\theta/dP$ ,  $2.16 \times 10^{-2}$  deg/kg cm<sup>-2</sup>. These deficiencies of the theoretical predictions, as pointed out by Patterson,<sup>23</sup> are unexplained.

**Acknowledgment.** The authors thank Mr. K. Kubo and Mr. Y. B. Kim for their encouragement during the course of this work. We wish to thank the Ministry of Education in Japan for supporting our work with a grant.

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## Calculated and Measured <sup>13</sup>C NMR Chemical Shifts of the 2,4,6-Trichloroheptanes and Their Implications for the <sup>13</sup>C NMR Spectra of Poly(vinyl chloride)

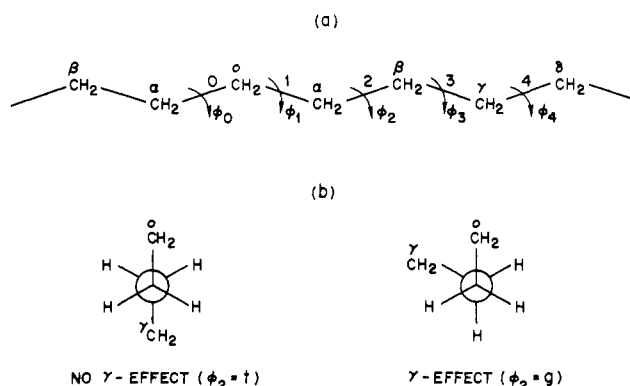
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**ABSTRACT:** <sup>13</sup>C NMR chemical shifts are measured for each of the carbon atoms in the three stereoisomers of 2,4,6-trichloroheptane (TCH). Spectra are recorded from solutions employing a broad range of solvents, as well as the neat liquids, over the temperature range of 25-140 °C. The differences in chemical shifts observed between the carbon atoms of the three TCH isomers are virtually independent of solvent for the methine carbons, only slightly solvent dependent for the methyl carbons, and extremely sensitive to solvent for the methylene carbons. The <sup>13</sup>C chemical shifts observed for TCH can be predicted, with the exception of those of the solvent-sensitive methylene carbons, by quantitatively calculating the number of three-bond gauche or  $\gamma$  interactions between carbon atoms and between carbon and chlorine atoms. The following  $\gamma$  effects are required to achieve this agreement:  $\gamma_{CH_2 \text{ or } CH_3CH} = -2.5$  ppm,  $\gamma_{CHCH_2 \text{ or } CH_3} = -5.0$  ppm, and  $\gamma_{CHCl} = -3.0$  ppm, where  $\gamma_{a,b}$  is the upfield shift observed at carbon a due to atom b, which is  $\gamma$  to a and in the gauche conformation. In addition to the <sup>13</sup>C chemical shifts of TCH and their temperature dependence, these  $\gamma$  effects correctly predict the chemical shift differences observed for the methyl and methine carbons between the meso and racemic isomers of 2,4-dichloropentane and the chemical shift pattern of the methine region in the <sup>13</sup>C NMR spectra of poly(vinyl chloride).

The most detailed information concerning the stereo-configuration and/or sequence distribution of monomer

units constituting vinyl homo- and copolymers is provided by <sup>13</sup>C NMR spectroscopy.<sup>1-3</sup> Recently one of the present



**Figure 1.** (a) Portion of a paraffinic hydrocarbon chain in the all trans, planar zigzag conformation; (b) Newman projections along bond two in (a) illustrating the  $\gamma$  effect.

authors demonstrated<sup>4,5</sup> that the observed stereochemical shifts in the <sup>13</sup>C resonance peaks can be attributed to conformational differences among the various stereosequences. On this basis, the <sup>13</sup>C chemical shift pattern observed in polypropylene<sup>4</sup> and ethylene-propylene copolymers<sup>5</sup> can be understood.

<sup>13</sup>C NMR studies<sup>6-9</sup> of paraffinic hydrocarbons have made apparent that the gauche arrangement of carbon atoms separated by three bonds ( $\gamma$  substituents) results in an upfield shift ( $\gamma$  effect) relative to the shielding experienced in the trans planar conformation (see Figure 1). The  $\gamma$  effect experienced by a given carbon atom in a vinyl polymer chain should therefore depend on the proportion or probability of those bond conformations which produce a gauche arrangement between the carbon atom of interest and those carbon atoms attached in the  $\gamma$  position.

Bond rotation probabilities in vinyl polymers are known<sup>10,11</sup> to be sensitive to the stereosequence of the chain in the vicinity of the bond in question. Thus the suggestion<sup>9,12</sup> that the <sup>13</sup>C chemical shift pattern observed for a vinyl polymer is directly related to its conformational characteristics, as determined by the stereosequence of the chain, seems reasonable.

Bovey and Tonelli<sup>9,13</sup> were able to approximate the triad structure in the <sup>13</sup>C NMR methyl spectrum of atactic polypropylene (PP) by estimating the number of methyl carbon gauche interactions present in each triad and assigning each a  $\gamma$  effect of -4.3 ppm. The <sup>13</sup>C chemical shifts observed<sup>14-16</sup> for the 9-methyl carbon in the various stereoisomers of the PP model compound, 3,5,7,9,11,13,15-heptamethylheptadecane, including their temperature dependence, can be reproduced<sup>4</sup> by accounting for all possible  $\gamma$  interactions with a  $\gamma$  effect of -5.3 ppm.

The <sup>13</sup>C chemical shifts of the methylene carbon atoms in the isolated ethylene units (-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>-) of ethylene-propylene copolymers are also understandable<sup>5</sup> in terms of the  $\gamma$  effect, with  $\gamma = -5.3$  ppm. Proposed effects of geometrical isomers and the stereo directing influence of the polymerization catalysts on the methylene <sup>13</sup>C chemical shifts<sup>17-19</sup> are easily evaluated and rationalized by comparison to the calculated chemical shifts.

On the other hand, a preliminary analysis<sup>9,13</sup> of poly(vinyl chloride) (PVC) with  $\gamma_{C,C} = -4.3$  ppm and  $\gamma_{C,Cl} = 0.0$  ppm did not lead to a satisfactory understanding of its <sup>13</sup>C NMR spectra. Consequently, we present here the results of our study of the <sup>13</sup>C chemical shifts, both measured and calculated, for the carbon atoms in the PVC model compounds, 2,4,6-trichloroheptanes (TCH).

## Experimental Section

### Synthesis and Separation of the Stereoisomers of 2,-

**4,6-Trichloroheptane.** 2,4,6-Trichloroheptane (TCH) has been prepared previously by reaction of heptane-2,4,6-triol with thionyl chloride.<sup>20</sup> The triol has been obtained from the corresponding triene by catalytic hydrogenation over Raney nickel<sup>20a,b,21</sup> or reduction with sodium borohydride.<sup>22</sup> Individual stereoisomers of TCH are secured most conveniently by gas chromatographic separation of their mixture,<sup>23</sup> although they can also be obtained by reaction of thionyl chloride with the separated stereoisomers of the triol.<sup>20b</sup> Yields of TCH realized in these earlier studies were either unspecified<sup>20b,c</sup> or very low,<sup>20a</sup> and although in one instance the catalytic hydrogenation step was reported to proceed in a yield of 80%<sup>21</sup> (vs. a triol yield of ca. 12% using sodium borohydride<sup>22</sup>), this method of reduction suffers from the necessity of using a pyrophoric catalyst under rather vigorous reaction conditions (e.g.,<sup>21</sup> ~2100 psi and 100-108 °C). In the present work, heptane-2,4,6-triol was obtained by catalytic hydrogenation of heptane-2,4,6-trione<sup>24</sup> under much milder conditions over a nonpyrophoric ruthenium catalyst. The triol was then converted into TCH by treatment with the complex formed from thionyl chloride and *N,N*-dimethylformamide.<sup>25</sup> Since development studies on these reactions are still in progress, publication of experimental details is being deferred to a later date.<sup>26</sup> However, it is already clear that this sequence comprises a greatly improved method for the synthesis of TCH. The TCH thus prepared contains the heterotactic (H), syndiotactic (S), and isotactic (I) stereoisomers in a ratio of ca. 2:1:1, respectively. In our work, these isomers were separated by gas chromatography on a Varian Aerograph instrument, Model 90-P, according to the procedure outlined by Flory and Pickles.<sup>23c</sup> The isomeric purities of the separated fractions were greater than 90%.

**Other Materials.** The poly(vinyl chloride) employed is a commercial material (No. 7649) obtained from Monomer-Polymer Laboratories. Integration of the spectrum in Figure 4 shows the  $P_m$  of the polymer equals 0.45.

**Methods.** The TCH solutions were prepared at concentrations of 0.5-2% v/v in 12-mm microcells. The microcell volume was 0.75 cm<sup>3</sup>. Solutions were prepared using the following solvents: Me<sub>2</sub>SO-*d*<sub>6</sub>, acetone-*d*<sub>6</sub>, and an 80/20 mixture of 1,2,4-trichlorobenzene/C<sub>6</sub>D<sub>6</sub>. In order to obtain the <sup>13</sup>C shifts of the neat material, the mixture of isomers was placed in a 5 mm capillary tube centered in a 12-mm tube. The volume between the 5 and 12 mm tubes was filled with CDCl<sub>3</sub> as an external lock. All measurements were made relative to internal Me<sub>4</sub>Si or internal HMDS.

Measurements were made on a Varian XL-100 spectrometer with a <sup>13</sup>C resonance frequency of 25.16 MHz. Temperatures were measured with a calibrated thermocouple and a thermometer placed in a tube containing ethylene glycol. For all measurements of TCH the FID was stored in 8K computer locations; the  $\pi/2$  sampling pulse was 15  $\mu$ s; the pulse repetition time was 15.0 s; and all protons were noise decoupled. Depending on sample concentration, between 200 and 4000 scans were required for each measurement. The sweep width selected varied between 2000 and 4000 Hz depending on the solvent.

The data in Tables I and III were obtained by observing the mixture of isomers in solution. In order to assign the resonances of the mixture, it was necessary to measure the <sup>13</sup>C NMR shifts of each separated isomer in each solvent. In the measurements conducted at various temperatures, each separated isomer was again examined at each temperature in order to confirm the assignments of the mixture at that temperature.

The poly(vinyl chloride) was observed as a 20% w/v solution in 1,2,4-trichlorobenzene (TCB). In order to obtain the <sup>13</sup>C spectrum of PVC in TCB it was necessary to lock on external ethylene-*d*<sub>4</sub> glycol. Chemical shift measurements were made relative to internal HMDS. The spectrum of PVC in TCB was obtained on a Bruker HX-360 spectrometer at a carbon frequency of 90.52 MHz, using a sweep width of 12000 Hz in 32K data locations at a repetition rate of 2.0 s and a  $\pi/2$  pulse of 25  $\mu$ s.

## Calculations

The three state (*t*, *g*,  $\bar{g}$ ) rotational isomeric state (RIS) model of PVC developed by Williams, Flory, and Pickles<sup>23c,27</sup> which was based on epimerization studies of the 2,4-dichloropentanes (DCP) and TCH was used to



Table III  
Calculated and Observed Temperature Dependence of the  
<sup>13</sup>C Chemical Shifts for the Carbon Atoms in the  
Stereoisomers of TCH

| carbon atom | stereo-<br>isomer | $\Delta\nu,^a$ ppm (for $\Delta T =$<br>140–25 °C) |       |
|-------------|-------------------|--|-------|
|             |                   | exptl<br>(Me <sub>2</sub> SO)                      | calcd |
| methine (E) | I                 | -0.09  | -0.13 |
|             | (C)               | -0.09  | 0.02  |
|             | (E)               | -0.35 <sup>b</sup>                                 | -0.35 |
|             | (C)               | -0.28  | -0.38 |
|             | (E)               | -0.13  | -0.02 |
|             | (C)               | -0.13  | -0.26 |
| methylene   | (E)               | -0.25  | -0.22 |
|             | I                 | -0.19  | -0.05 |
|             | S                 | -0.58  | -0.19 |
| methyl      | H                 | 0.06 <sup>b</sup>                                  | 0.06  |
|             | H                 | -0.54  | -0.16 |
|             | I                 | 0.11 <sup>b</sup>                                  | 0.11  |
|             | S                 | -0.22  | -0.20 |
|             | H                 | -0.09  | -0.01 |
|             | H                 | -0.18  | -0.18 |

<sup>a</sup> Negative  $\Delta\nu$  indicates an upfield chemical shift with increasing temperature. <sup>b</sup> Observed chemical shift difference forced to agree with calculated value. This correction, attributable to the temperature dependence of the solvent sensitivity, was applied to the remaining observed chemical shift differences for like carbon atoms.

Table IV  
Calculated Rotation Probabilities for the Bonds in TCH

| $P_{t,g,\bar{g}}$ |  |  |  |
|-------------------|--|--|--|
| bond              | I  | S  | H  |
| 2                 | 0.408, <sup>a</sup> 0.436 <sup>b</sup><br>0.571, 0.526<br>0.021, 0.038 | 0.931, <sup>a</sup> 0.878 <sup>b</sup><br>0.065, 0.111<br>0.004, 0.011 | 0.511, <sup>a</sup> 0.507 <sup>b</sup><br>0.471, 0.457<br>0.018, 0.036 |
| 3                 | 0.658, 0.644<br>0.320, 0.314<br>0.022, 0.042                           | 0.932, 0.881<br>0.063, 0.107<br>0.005, 0.012                           | 0.541, 0.556<br>0.441, 0.407<br>0.018, 0.037                           |
| 4                 | 0.658, 0.644<br>0.320, 0.314<br>0.022, 0.042                           | 0.932, 0.881<br>0.063, 0.107<br>0.005, 0.012                           | 0.957, 0.914<br>0.039, 0.074<br>0.004, 0.012                           |
| 5                 | 0.458, 0.436<br>0.521, 0.526<br>0.021, 0.038                           | 0.931, 0.878<br>0.065, 0.111<br>0.004, 0.011                           | 0.950, 0.900<br>0.046, 0.089<br>0.004, 0.011                           |

<sup>a</sup>  $T = 25$  °C. <sup>b</sup>  $T = 100$  °C.

methylene carbon chemical shifts (Figure 3, Table I). By contrast the methine and methyl carbon chemical shifts show almost no and very little dependence on solvent, respectively.<sup>29</sup>

It is therefore not surprising that the chemical shifts calculated for the methylene carbons which take no account of the solvent depart significantly from the observed shifts in most solvents (see Figure 3). The solvent-independent methine regions of the TCH spectra are well reproduced by the chemical shifts calculated<sup>30</sup> for the methine carbons in each of the TCH isomers. The observed temperature dependence of the methine chemical shifts is also satisfactorily reproduced (see Table III) by the calculated shifts (Table II) based on the  $\gamma$  effect and evaluated from the RIS model of PVC.

Although the methyl regions of the TCH spectra exhibit some solvent sensitivity, the chemical shifts calculated for the methyl carbons in each TCH isomer generally ap-

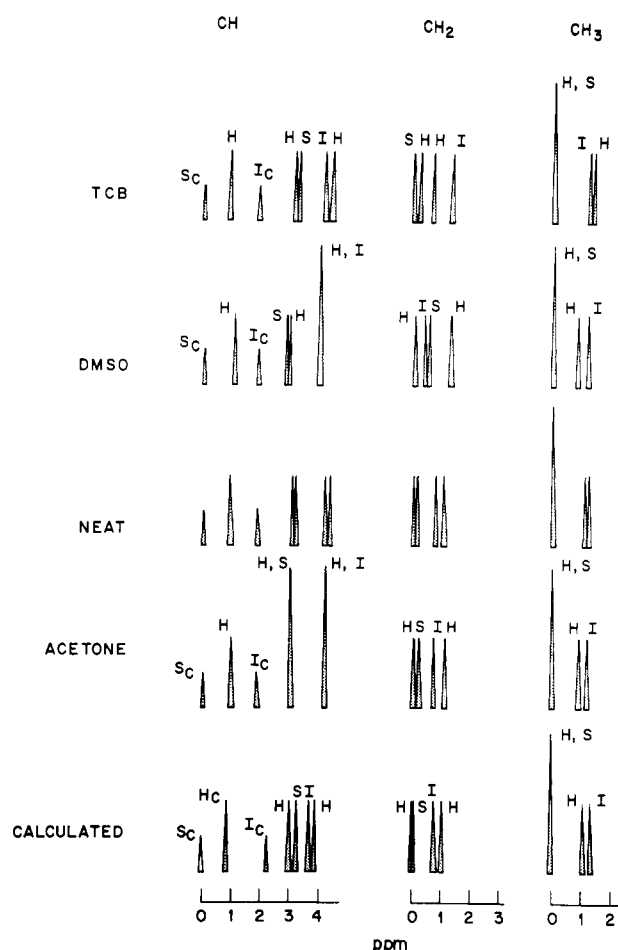


Figure 3. Comparison of measured (33 °C) and calculated <sup>13</sup>C chemical shifts of the TCH isomers in several solvents. The most downfield resonance in each of the three spectral regions is assigned a 0.0 ppm chemical shift.

proximate the observed <sup>13</sup>C NMR pattern including the total breadth of the methyl region. This agreement lends support to the assignment of  $\gamma_{CH_2 \text{ or } CH_3, CH} = -2.5$  ppm to describe the  $\gamma$  effect produced by a tertiary carbon with a chlorine atom attached.

The chemical shift differences between methyl and methine carbons in the meso and racemic DCP's observed by Ando et al.<sup>31</sup> in a variety of solvents can also be rationalized with  $\gamma_{CH, CH_2 \text{ or } CH_3} = -5.0$  ppm,  $\gamma_{CH_2 \text{ or } CH_3, CH} = -2.5$  ppm, and  $\gamma_{CH, Cl} = -3.0$  ppm. These  $\gamma$  effects lead to the prediction that both the methine and methyl carbons in meso-DCP will resonate ca. 1 ppm upfield from the same carbons in racemic-DCP. Ando et al.<sup>31</sup> find just such an upfield shift in the methyl and methine resonances of meso-DCP.

The temperature dependencies of the methylene and methyl carbon resonances observed in TCH are also satisfactorily reproduced by the calculated chemical shifts (see Table III).

A further test of the  $\gamma$  effects derived from our study of TCH <sup>13</sup>C NMR spectra is provided by comparing the chemical shifts of the central (C) methine carbons in I,S,H-TCH (see Table I) with the chemical shift of C<sub>4</sub> in heptane.<sup>7</sup> After correcting for the 31.2 ppm downfield shift expected<sup>32</sup> at each of the central methine carbons in TCH due to the  $\alpha$ -chlorine substituent, the differences in chemical shift observed for the central methines in TCH and for C<sub>4</sub> in heptane are expected to be related to the differences in  $\gamma$  interactions involving each of these carbon atoms.



- (30) In constructing the line spectrum in Figure 3 corresponding to the calculated <sup>13</sup>C chemical shifts, each of the central (C) methine (see Figure 2) carbon resonances had to be shifted downfield by ca. 6.0 ppm, because the C-methine carbon has one additional β-carbon substituent compared to the end (E) methine carbons. This value for the β effect<sup>6-9</sup> was arrived at by comparing the chemical shift difference observed between the C and E methine carbons in each of the TCH isomers and assigning this difference, after correction for the different number of γ effects involving C and E methine carbons, to the β effect between neighboring methine carbons. The value arrived at (6.2 ± 0.5 ppm) is similar to the β effect caused by other tertiary carbon atoms reported

in the literature.<sup>6-9</sup> In addition the consistent set of β effects obtained for the TCH isomers also supports γ<sub>CH<sub>2</sub>CH<sub>2</sub> or CH<sub>3</sub></sub> = -5.0 ppm and γ<sub>CH<sub>2</sub>Cl</sub> = -3.0 ppm.

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## Carbon-13 Chemical Shifts of the Polypropylene "Model" Compounds 3,5-Dimethylheptane and 3,5,7-Trimethylnonane

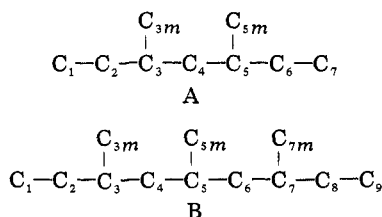
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**ABSTRACT:** <sup>13</sup>C-NMR chemical shifts are calculated for the stereoisomers of the polypropylene model compounds 3,5-dimethylheptane (A) and 3,5,7-trimethylnonane (B). Differences in the chemical shifts of the same carbon atom in the various stereoisomers are attributed to stereosequence-dependent differences in the frequency with which the given carbon atom is involved in 3 bond gauche or γ interactions with other carbon atoms. The Suter-Flory RIS model of polypropylene is employed in the evaluation of the number of γ interactions involving each of the carbon atoms in A and B. The calculated <sup>13</sup>C chemical shifts are compared to the observed values and those calculated previously for 3,5,7,9,11,13,15-heptamethylheptadecane. This comparison permits an assessment of the utility of A and B as model compounds for polypropylene.

It was recently demonstrated<sup>1,2</sup> that the <sup>13</sup>C-NMR spectra of polypropylene can be understood based on the stereosequence sensitive γ effect.<sup>3-6</sup> <sup>13</sup>C chemical shifts expected at the 9-C<sup>α</sup> and CH<sub>3</sub> carbons and at the 8 and 10-CH<sub>2</sub> carbons in the various stereoisomers of the polypropylene model compound 3,5,7,9,11,13,15-heptamethylheptadecane (C) were evaluated<sup>1</sup> with a 3 bond gauche or γ interaction of -5.3 ppm by employing the rotational isomeric state (RIS) model of polypropylene derived by Suter and Flory.<sup>7</sup> Very good agreement was achieved between the calculated and observed<sup>8-10</sup> chemical shifts for the carbon atoms in C<sup>1</sup> and polypropylene.<sup>2</sup>

Ritter et al.<sup>11</sup> have reported a temperature-dependent study of the <sup>13</sup>C chemical shifts of the various stereoisomers of 3,5-dimethylheptane (A) and 3,5,7-trimethylnonane (B),



which may also serve as model compounds of polypropylene. They observed throughout the temperature range 150-300 K, and even upon extrapolation to 0 K, that the chemical shift differences between the corresponding carbon atoms in the different stereoisomers do not coincide for compounds A and B. Only the chemical shift differences observed in B correspond to those measured for polypropylene, which seems to render A useless in the study of polypropylene.

It appears useful to apply the same procedures, used to successfully evaluate the <sup>13</sup>C chemical shifts of the much larger model compound C<sup>1</sup>, to compounds A and B in an attempt to also understand their conformational and

**Table I**  
Calculated <sup>13</sup>C-NMR Chemical Shift Differences Δν (ppm) for the Carbon Atoms in the Meso and Racemic Isomers of Model Compound A

| carbon atom | Δν, <sup>a</sup> ppm |        |        |        |
|-------------|----------------------|--------|--------|--------|
|             | 150 K                | 200 K  | 250 K  | 300 K  |
| 1           | -0.180               | -0.170 | -0.154 | -0.145 |
| 2           | 1.023                | 1.055  | 1.012  | 0.943  |
| 3           | -0.006               | -0.021 | -0.037 | -0.063 |
| 3m          | -0.724               | -0.785 | -0.779 | -0.753 |
| 4           | -0.302               | -0.297 | -0.265 | -0.243 |

<sup>a</sup> Negative values of Δν indicate that the resonance of the carbon atom in the racemic isomer will occur upfield from its resonance in the meso isomer.

**Table II**  
Comparison of Experimental<sup>5,11</sup> and Calculated <sup>13</sup>C Chemical Shift Differences Δν for the Carbon Atoms in the Meso and Racemic Isomers of Model Compound A

| carbon atom | Δν , ppm            |       |                     |       |
|-------------|---------------------|-------|---------------------|-------|
|             | 150 K               |       | 300 K               |       |
|             | exptl <sup>11</sup> | calcd | exptl <sup>11</sup> | calcd |
| 1           |                     | 0.18  | (0.2) <sup>a</sup>  | 0.15  |
| 2           | 2.0                 | 1.02  | 1.15 (1.0)          | 0.94  |
| 3           | 0.50                | 0.01  | 0.14 (0.1)          | 0.06  |
| 3m          | 1.03                | 0.72  | 0.71 (0.7)          | 0.75  |
| 4           | 0.10                | 0.30  | 0.16 (0.2)          | 0.24  |

<sup>a</sup> Taken from ref 5 where <sup>13</sup>C-NMR spectra were recorded in a 50:50 v/v mixture of A-dioxane.

configurational characteristics. This is carried out in the present report, where the results obtained for A and B are